# UNITED STATES INTERNATIONAL TRADE COMMISSION Washington, D.C.

In the Matter of

Certain Barcode Scanners, Scan Engines, Mobile Computers with Barcode Scanning Functionalities, Products Containing the Same, and Components Thereof

Inv. No. 337-TA-1317

#### NOTICE OF INSTITUTION OF INVESTIGATION

Institution of Investigation Pursuant to 19 U.S.C. 1337

**AGENCY**: U.S. International Trade Commission

**ACTION**: Notice

SUMMARY: Notice is hereby given that a complaint was filed with the U.S. International Trade Commission on May 2, 2022, under section 337 of the Tariff Act of 1930, as amended, on behalf of Honeywell International Inc. of Charlotte, North Carolina and Hand Held Products, Inc. of Charlotte, North Carolina. The complaint alleges violations of section 337 based upon the importation into the United States, the sale for importation, and the sale within the United States after importation of certain barcode scanners, scan engines, mobile computers with barcode scanning functionalities, products containing the same, and components thereof by reason of the infringement of certain claims of U.S. Patent No. 9,465,970 ("the '970 Patent"); U.S. Patent No. 10,956,695 ("the '695 Patent"); and U.S. Patent No. 11,238,252 ("the '252 Patent"). The complaint further alleges that an industry in the United States exists as required by the applicable Federal Statute.

The complainants request that the Commission institute an investigation and, after the investigation, issue a limited exclusion order and cease and desist orders.

**ADDRESSES**: The complaint, except for any confidential information contained therein, may be viewed on the Commission's electronic docket (EDIS) at <a href="https://edis.usitc.gov">https://edis.usitc.gov</a>. For help accessing EDIS, please email <a href="https://edis.usitc.gov">EDIS3Help@usitc.gov</a>. Hearing impaired individuals are advised that information on this matter can be obtained by contacting the Commission's TDD terminal on (202) 205-1810. Persons with mobility impairments who will need special assistance in gaining access to the Commission should contact the Office of the Secretary at (202) 205-2000. General information concerning the Commission may also be obtained by accessing its internet server at <a href="https://www.usitc.gov">https://www.usitc.gov</a>.

**FOR FURTHER INFORMATION CONTACT**: Katherine Hiner, Office of Docket Services, U.S. International Trade Commission, telephone (202) 205-1802.

#### SUPPLEMENTARY INFORMATION:

**AUTHORITY**: The authority for institution of this investigation is contained in section 337 of the Tariff Act of 1930, as amended, 19 U.S.C. 1337, and in section 210.10 of the Commission's Rules of Practice and Procedure, 19 C.F.R. 210.10 (2021).

**SCOPE OF INVESTIGATION**: Having considered the complaint, the U.S. International Trade Commission, on May 31, 2022, **ORDERED THAT** –

- (1) Pursuant to subsection (b) of section 337 of the Tariff Act of 1930, as amended, an investigation be instituted to determine whether there is a violation of subsection (a)(1)(B) of section 337 in the importation into the United States, the sale for importation, or the sale within the United States after importation of certain products identified in paragraph (2) by reason of infringement of one or more of claims 1, 3, 13-15, 21, 43, 45, 55-57, 84, 85, 87, 99, and 105 of the '970 patent; claims 1, 2, 6, 9-11, 13, and 14 of the '695 patent; and claims 13, 16, 18, 20, 22-24, 26, and 27 of the '252 patent, whether an industry in the United States exists as required by subsection (a)(2) of section 337;
- (2) Pursuant to section 210.10(b)(1) of the Commission's Rules of Practice and Procedure, 19 C.F.R. 210.10(b)(1), the plain language description of the accused products or category of accused products, which defines the scope of the investigation, is "barcode scanners (also known as barcode readers, barcode decoders, stationary scanners, handheld scanners, companion scanners, cabled scanners, wireless scanners, and mobile scanning devices), handheld computers, mobility devices, scan engines, undecoded scan engines, decoder boards, and imaging modules";
- (3) For the purpose of the investigation so instituted, the following are hereby named as parties upon which this notice of investigation shall be served:
  - (a) The complainants are:

Honeywell International Inc. 855 S. Mint Street Charlotte, NC 28202

Hand Held Products, Inc. 885 S. Mint Street Charlotte, NC 28202

(b) The respondents are the following entities alleged to be in violation of section 337, and are the parties upon which the complaint is to be served:

Zebra Technologies Corporation 3 Overlook Point Lincolnshire, IL 60069 Symbol Technologies, Inc. 1 Zebra Plaza Holtsville, NY 11742

(4) For the investigation so instituted, the Chief Administrative Law Judge, U.S. International Trade Commission, shall designate the presiding Administrative Law Judge.

The Office of Unfair Import Investigations will not participate as a party to this investigation.

Responses to the complaint and the notice of investigation must be submitted by the named respondents in accordance with section 210.13 of the Commission's Rules of Practice and Procedure, 19 C.F.R. 210.13. Pursuant to 19 C.F.R. 201.16(e) and 210.13(a), as amended in 85 Fed. Reg. 15798 (March 19, 2020), such responses will be considered by the Commission if received not later than 20 days after the date of service by the complainants of the complaint and the notice of investigation. Extensions of time for submitting responses to the complaint and the notice of investigation will not be granted unless good cause therefor is shown.

Failure of a respondent to file a timely response to each allegation in the complaint and in this notice may be deemed to constitute a waiver of the right to appear and contest the allegations of the complaint and this notice, and to authorize the administrative law judge and the Commission, without further notice to the respondent, to find the facts to be as alleged in the complaint and this notice and to enter an initial determination and a final determination containing such findings, and may result in the issuance of an exclusion order or a cease and desist order or both directed against the respondent.

By order of the Commission.

Lisa R. Barton

Secretary to the Commission

Issued: May 31, 2022

CERTAIN BARCODE SCANNERS, SCAN ENGINES, MOBILE COMPUTERS WITH BARCODE SCANNING FUNCTIONALITIES, PRODUCTS CONTAINING THE SAME, AND COMPONENTS THEREOF

# **PUBLIC CERTIFICATE OF SERVICE**

I, Lisa R. Barton, hereby certify that the attached **INSTITUTION OF INVESTIGATION** has been served upon the following parties as indicated, on **May 31, 2022**.

Lisa R. Barton, Secretary U.S. International Trade Commission 500 E Street, SW, Room 112 Washington, DC 20436

#### **Complainants:** Honeywell International Inc. ☐ Via Hand Delivery 855 S. Mint Street ☐ Via Express Delivery Charlotte, North Carolina 28202 ☐ Via First Class Mail ☑ Other: Email Notification of Availability for Download Hand Held Products, Inc. ☐ Via Hand Delivery 885 S. Mint Street ☐ Via Express Delivery Charlotte, North Carolina 28202 ☐ Via First Class Mail ☑ Other: Email Notification of Availability for Download **On Behalf of Complainants:** M. Scott Stephens, Esq. ☐ Via Hand Delivery **ALSTON & BIRD LLP** ☐ Via Express Delivery The Atlantic Building 950 F Street, NW ☐ Via First Class Mail Washington, DC 20004 ☑ Other: Email Notification Email: scott.stevens@alston.com of Availability for Download

☐ Via Hand Delivery

☐ Via Express Delivery

# CERTAIN BARCODE SCANNERS, SCAN ENGINES, MOBILE COMPUTERS WITH BARCODE SCANNING FUNCTIONALITIES, PRODUCTS CONTAINING THE SAME, AND COMPONENTS THEREOF

Dale D. Berkley, Ph.D., J.D.

Office of the General Counsel, PHD, NIH Branch

Certificate of Service – Page 2	
Respondents:	
Zebra Technologies Corporation 3 Overlook Point Lincolnshire, IL 60069	<ul> <li>□ Via Hand Delivery</li> <li>□ Via Express Delivery</li> <li>□ Via First Class Mail</li> <li>⊠ Other: Service to Be</li> <li>Completed by Complainants</li> </ul>
Symbol Technologies, Inc. 1 Zebra Plaza Holtsville, NY 11742	<ul> <li>□ Via Hand Delivery</li> <li>□ Via Express Delivery</li> <li>□ Via First Class Mail</li> <li>⋈ Other: Service to Be</li> <li>Completed by Complainants</li> </ul>
<b>Government Agencies:</b>	
Lynda Marshall International Section, Antitrust Division U.S. Department of Justice 450 5th Street NW, Room 11000 Washington, DC 20530	<ul> <li>□ Via Hand Delivery</li> <li>□ Via Express Delivery</li> <li>□ Via First Class Mail</li> <li>⊠ Other: Email Notification of Availability for Download</li> </ul>
Dax Terrill, Chief Exclusion Order Enforcement Branch U.S. Customs and Border Protection Regulations and Rulings 90 K Street NE – 10th Floor Washington, DC 20229	<ul> <li>□ Via Hand Delivery</li> <li>□ Via Express Delivery</li> <li>□ Via First Class Mail</li> <li>⊠ Other: Email Notification of Availability for Download</li> </ul>
Elizabeth Kraus, Deputy Director International Antitrust, Office of International Affairs Federal Trade Commission 600 Pennsylvania Avenue, Room 498 Washington, DC 20580	<ul> <li>□ Via Hand Delivery</li> <li>□ Via Express Delivery</li> <li>□ Via First Class Mail</li> <li>☑ Other: Email Notification of Availability for Download</li> </ul>

# Inv. No. 337-TA-1317

# CERTAIN BARCODE SCANNERS, SCAN ENGINES, MOBILE COMPUTERS WITH BARCODE SCANNING FUNCTIONALITIES, PRODUCTS CONTAINING THE SAME, AND COMPONENTS THEREOF

Certificate of Service – Page 3

National Institutes of Health 31 Center Drive Bldg. 31, Rm. 47 Bethesda, MD 20892 ☐ Via First Class Mail☑ Other: Email Notification of Availability for Download



### WASHINGTON, DC 20436

May 31, 2022

M. Scott Stephens Alston & Bird LLP The Atlantic Building 950 F Street, NW Washington, DC 20004

Re: Certain Barcode Scanners, Scan Engines, Mobile Computers with Barcode Scanning Functionalities, Products Containing the Same, and Components Thereof; Investigation No. 337-TA-1317

Dear M. Scott Stephens:

This letter is to advise you that the U.S. International Trade Commission has instituted an investigation pursuant to section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). A copy of the Commission's notice of investigation is enclosed. Also enclosed is the Section 337 Mediation Program brochure. After reviewing the brochure, please sign and return the enclosed certification of receipt.

Sincerely,

Lisa R. Barton Secretary

- 1. Notice of Investigation
- 2. Publication Regarding the Section 337 Mediation Program
- 3. Certification of Receipt of Mediation Materials



# WASHINGTON, DC 20436

May 31, 2022

Honeywell International Inc. 855 S. Mint Street Charlotte, NC 28202

Re: Certain Barcode Scanners, Scan Engines, Mobile Computers with Barcode Scanning Functionalities, Products Containing the Same, and Components Thereof; Investigation No. 337-TA-1317

#### Dear Sir/Madam:

This letter is to advise you that the U.S. International Trade Commission has instituted an investigation pursuant to section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). A copy of the Commission's notice of investigation is enclosed. Also enclosed is the Section 337 Mediation Program brochure. After reviewing the brochure, please sign and return the enclosed certification of receipt.

Sincerely,

Lisa R. Barton Secretary

- 1. Notice of Investigation
- 2. Publication Regarding the Section 337 Mediation Program
- 3. Certification of Receipt of Mediation Materials



# WASHINGTON, DC 20436

May 31, 2022

Hand Held Products, Inc. 855 S. Mint Street Charlotte, NC 28202

Re: Certain Barcode Scanners, Scan Engines, Mobile Computers with Barcode Scanning Functionalities, Products Containing the Same, and Components Thereof; Investigation No. 337-TA-1317

#### Dear Sir/Madam:

This letter is to advise you that the U.S. International Trade Commission has instituted an investigation pursuant to section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). A copy of the Commission's notice of investigation is enclosed. Also enclosed is the Section 337 Mediation Program brochure. After reviewing the brochure, please sign and return the enclosed certification of receipt.

Sincerely,

Lisa R. Barton Secretary

- 1. Notice of Investigation
- 2. Publication Regarding the Section 337 Mediation Program
- 3. Certification of Receipt of Mediation Materials



#### WASHINGTON, DC 20436

May 31, 2022

Zebra Technologies Corporation 3 Overlook Point Lincolnshire, IL 60069

Re: Certain Barcode Scanners, Scan Engines, Mobile Computers with Barcode Scanning Functionalities, Products Containing the Same, and Components Thereof; Inv. No. 337-TA-1317

#### Dear Sir/Madam:

This letter is to advise you that the United States International Trade Commission has instituted an investigation pursuant to Section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). **Zebra Technologies Corporation** is a named respondent in this investigation.

We are hereby serving you with a copy of the Complaint and the Notice of Investigation issued in this matter. Enclosed is a copy of the Code of Federal Regulations. Also enclosed is a the Section 337 Mediation Program brochure. After reviewing this brochure, please sign and return the enclosed certification of receipt.

Please note that the Notice requires a response to the allegations in the Complaint and the response must be received not later than twenty (20) days after the date of service of the Complaint. Failure to file a response may result in inferences being drawn against your interests.

Sincerely,

Lisa R. Barton Secretary

- 1. Complaint
- 2. Notice of Investigation
- 3. Code of Federal Regulation
- 4. Publication Regarding the Section 337 Mediation Program
- 5. Certification of Receipt of Mediation Materials



# WASHINGTON, DC 20436 May 31, 2022

Symbol Technologies, Inc. 1 Zebra Plaza Holtsville, NY 11742

Re: Certain Barcode Scanners, Scan Engines, Mobile Computers with Barcode Scanning Functionalities, Products Containing the Same, and Components Thereof; Investigation No. 337-TA-1317

#### Dear Sir/Madam:

This letter is to advise you that the United States International Trade Commission has instituted an investigation pursuant to Section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). **Symbol Technologies, Inc.** is a named respondent in this investigation.

We are hereby serving you with a copy of the Complaint and the Notice of Investigation issued in this matter. Enclosed is a copy of the Code of Federal Regulations. Also enclosed is a the Section 337 Mediation Program brochure. After reviewing this brochure, please sign and return the enclosed certification of receipt.

Please note that the Notice requires a response to the allegations in the Complaint and the response must be received not later than twenty (20) days after the date of service of the Complaint. Failure to file a response may result in inferences being drawn against your interests.

Sincerely,

Lisa R. Barton Secretary

- 1. Complaint
- 2. Notice of Investigation
- 3. Code of Federal Regulation
- 4. Publication Regarding the Section 337 Mediation Program
- 5. Certification of Receipt of Mediation Materials



#### WASHINGTON, DC 20436

May 31, 2022

Lynda Marshall International Section Antitrust Division U.S. Dept of Justice 450 5th Street NW, Room 11000 Washington, DC 20530

Re: Certain Barcode Scanners, Scan Engines, Mobile Computers with Barcode Scanning Functionalities, Products Containing the Same, and Components Thereof; Inv. No. 337-TA-1317

Dear Lynda Marshall:

This letter is to advise you that the United States International Trade Commission has instituted an investigation pursuant to Section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). A copy of the Notice of Investigation is enclosed. Non-confidential copies of the Complaint and any supplements filed in the investigation will be provided upon request. Requests should be directed to Docket Services at (202) 205-1802.

If you have any questions concerning this investigation, please contact the Commission Investigative Attorney whose name and telephone number appear in the enclosed Notice.

Sincerely,

Lisa R. Barton Secretary

Enclosure:



#### WASHINGTON, DC 20436

May 31, 2022

Dax Terrill, Chief Exclusion Order Enforcement Branch U.S. Customs and Border Protection Regulations and Rulings 90 K Street NE, 10th Floor Washington, DC 20229-1177

Re: Certain Barcode Scanners, Scan Engines, Mobile Computers with Barcode Scanning Functionalities, Products Containing the Same, and Components Thereof; Inv. No. 337-TA-1317

Dear Dax Terrill:

This letter is to advise you that the United States International Trade Commission has instituted an investigation pursuant to Section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). A copy of the Notice of Investigation is enclosed. Non-confidential copies of the Complaint and any supplements filed in the investigation will be provided upon request. Requests should be directed to Docket Services at (202) 205-1802.

If you have any questions concerning this investigation, please contact the Commission Investigative Attorney whose name and telephone number appear in the enclosed Notice.

Sincerely,

Lisa R. Barton Secretary

Enclosure:



# WASHINGTON, DC 20436

May 31, 2022

Elizabeth Kraus, Deputy Director International Antitrust, Office of International Affairs Federal Trade Commission 600 Pennsylvania Avenue, Room 498 Washington, DC 20580

Re: Certain Barcode Scanners, Scan Engines, Mobile Computers with Barcode Scanning Functionalities, Products Containing the Same, and Components Thereof; Inv. No. 337-TA-1317

Dear Elizabeth Kraus:

This letter is to advise you that the United States International Trade Commission has instituted an investigation pursuant to Section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). A copy of the Notice of Investigation is enclosed. Non-confidential copies of the Complaint and any supplements filed in the investigation will be provided upon request. Requests should be directed to Docket Services at (202) 205-1802.

If you have any questions concerning this investigation, please contact the Commission Investigative Attorney whose name and telephone number appear in the enclosed Notice.

Sincerely,

Lisa R. Barton Secretary

Enclosure:



# WASHINGTON, DC 20436

May 31, 2022

Dale D. Berkley, Ph.D., J.D.
Office of the General Counsel, PHD, NIH Branch
National Institutes of Health
31 Center Drive
Building 31, Room 47
Bethesda, MD 20892

Re: Certain Barcode Scanners, Scan Engines, Mobile Computers with Barcode Scanning Functionalities, Products Containing the Same, and Components Thereof; Inv. No. 337-TA-1317

#### Dear Dale Berkley:

This letter is to advise you that the United States International Trade Commission has instituted an investigation pursuant to Section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). A copy of the Notice of Investigation is enclosed. Non-confidential copies of the Complaint and any supplements filed in the investigation will be provided upon request. Requests should be directed to Docket Services at (202) 205-1802.

If you have any questions concerning this investigation, please contact the Commission Investigative Attorney whose name and telephone number appear in the enclosed Notice.

Sincerely,

Lisa R. Barton Secretary

#### Enclosure: