UNITED STATES INTERNATIONAL TRADE COMMISSION Washington, D.C.

In the Matter of

CERTAIN BEVERAGE BREWING CAPSULES, COMPONENTS THEREOF, AND PRODUCTS CONTAINING THE SAME Investigation No. 337-TA-929 (Enforcement and Rescission Proceeding)

NOTICE OF COMMISSION DETERMINATION TO REVIEW AN INITIAL DETERMINATION, AND ON REVIEW, TO AFFIRM THE INITIAL DETERMINATION UNDER MODIFIED REASONING

AGENCY: U.S. International Trade Commission.

ACTION: Notice.

SUMMARY: Notice is hereby given that the U.S. International Trade Commission has determined to review an initial determination ("ID") (Order No. 33) issued by the presiding administrative law judge ("ALJ") in the above-referenced investigation, and on review, has determined to affirm the ID under modified reasoning.

FOR FURTHER INFORMATION CONTACT: Robert J. Needham, Office of the General Counsel, U.S. International Trade Commission, 500 E Street, SW, Washington, D.C. 20436, telephone (202) 205-3438. Copies of non-confidential documents filed in connection with this investigation are or will be available for inspection during official business hours (8:45 a.m. to 5:15 p.m.) in the Office of the Secretary, U.S. International Trade Commission, 500 E Street, S.W., Washington, D.C. 20436, telephone (202) 205-2000. General information concerning the Commission may also be obtained by accessing its Internet server (http://www.usitc.gov). The public record for this investigation may be viewed on the Commission's electronic docket (EDIS) at http://edis.usitc.gov. Hearing-impaired persons are advised that information on this matter can be obtained by contacting the Commission's TDD terminal on (202) 205-1810.

SUPPLEMENTARY INFORMATION: The Commission instituted the original investigation on September 9, 2014, based on a complaint filed by Adrian Rivera and Adrian Rivera Maynez Enterprises, Inc. (collectively, "ARM"). 79 FR 53445-46 (Sept. 9, 2016). The complaint alleged violations of section 337 of the Tariff Act of 1930, as amended, 19 U.S.C. 1337, in the importation into the United States, the sale for importation, and the sale within the United States after importation of certain beverage brewing capsules, components thereof, and products containing the same, by reason of infringement of claims 5-8 and 18-20 of U.S. Patent No. 8,720,320 ("the '320 patent"). *Id.* The notice of institution of the investigation named as respondents Solofill, LLC ("Solofill"); DongGuan Hai Rui Precision Mould Co., Ltd. ("DongGuan"); Eko Brands, LLC ("Eko Brands"); Evermuch Technology Co., Ltd. and Ever

Much Company Ltd. (together, "Evermuch"); and several additional respondents who were terminated by reason of consent order or settlement. 79 FR 53445. The Office of Unfair Import Investigations ("OUII") was also named as a party to the investigation. *Id.* The Commission found Eko Brands and Evermuch in default for failure to respond to the complaint and notice of investigation. Notice (May 18, 2015).

On March 17, 2016, the Commission found no violation of section 337 by Solofill and DongGuan because claims 5-7, 18, and 20 were invalid for a lack of written description and claims 5 and 6 were invalid as anticipated. 81 FR 15742-43 (Mar. 24, 2016). The Commission, however, presumed that the allegations were true with respect to the remaining allegations against the defaulted parties Eko Brands and Evermuch, and thus concluded that they violated section 337 with respect to claims 8 and 19. *Id.* at 15743. The Commission issued a limited exclusion order prohibiting Eko Brands and Evermuch from importing certain beverage brewing capsules, components thereof, and products containing the same that infringed claims 8 or 19 of the '320 patent. *Id.* The Commission also issued cease and desist orders against Eko Brands and Evermuch prohibiting the sale and distribution within the United States of articles that infringe claims 8 or 19. *Id.*

On June 1, 2016, ARM filed a complaint requesting that the Commission institute a formal enforcement proceeding under Commission Rule 210.75(b) to investigate alleged violations of the March 17, 2016, limited exclusion order and cease and desist order by Eko Brands and Espresso Supply, Inc. (collectively, "Eko"). The Commission instituted a formal enforcement proceeding on July 1, 2016. 81 FR 43242-43.

On September 12, 2016, Eko petitioned the Commission to rescind its limited exclusion order and cease and desist orders, and to terminate the enforcement proceeding. On November 25, 2016, the Commission instituted a rescission proceeding, and consolidated it with the enforcement proceeding. 81 FR 85264-65.

On October 7, 2016, ARM moved for a summary determination that Eko cannot establish its collateral estoppel defense as a matter of law. On October 20, 2016, Eko opposed ARM's motion, and OUII partially opposed and partially supported ARM's motion.

On December 1, 2016, the ALJ issued the subject ID granting a summary determination that Eko cannot establish its collateral estoppel defense. On December 8, 2016, Eko filed a timely petition for review of the ID. On December 16, 2016, ARM and OUII filed untimely responses to Eko's petition. The Commission has not considered these responses.

The Commission has determined to review the subject ID, and on review, has determined to affirm the ID under modified reasoning. A Commission opinion explaining its reasoning will issue shortly.

The authority for the Commission's determination is contained in section 337 of the Tariff Act of 1930, as amended (19 U.S.C. 1337), and in part 210 of the Commission's Rules of Practice and Procedure (19 CFR part 210).

By order of the Commission.

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Lisa R. Barton Secretary to the Commission

Issued: January 12, 2017

CERTAIN BEVERAGE BREWING CAPSULES, COMPONENTS THEREOF, AND PRODUCTS CONTAINING THE SAME

Inv. No. 337-TA-929 (Enforcement Proceeding)

PUBLIC CERTIFICATE OF SERVICE

I, Lisa R. Barton, hereby certify that the attached **NOTICE** has been served by hand upon the Commission Investigative Attorney, Monisha Deka, Esq., and the following parties as indicated, on **January 12, 2017**.

Lisa R. Barton, Secretary U.S. International Trade Commission 500 E Street, SW, Room 112 Washington, DC 20436

On Behalf of Complainants Adrian Rivera and ARM **Enterprises, Inc.:** Sudip Kundu, Esq. ☐ Via Hand Delivery **KUNDU PLLC** ☐ Via Express Delivery 1300 Eye Street, NW, Suite 400E ☑ Via First Class Mail Washington, DC bb 20005 ☐ Other: On Behalf of Respondents Eko Brands, LLC and Espresso Supply, Inc.: Andrew F. Pratt, Esq. ☐ Via Hand Delivery VENABLE LLP ☐ Via Express Delivery 575 7th Street, NW ☑ Via First Class Mail Washington, DC 20004-1604 ☐ Other: