UNITED STATES INTERNATIONAL TRADE COMMISSION Washington, D.C.

In the Matter of

CERTAIN BOTULINUM TOXIN PRODUCTS AND PROCESSES FOR MANUFACTURING OR RELATING TO SAME

Inv. No. 337-TA-1313

NOTICE OF INSTITUTION OF INVESTIGATION

Institution of Investigation Pursuant to 19 U.S.C. 1337

AGENCY: U.S. International Trade Commission

ACTION: Notice

SUMMARY: Notice is hereby given that a complaint was filed with the U.S. International Trade Commission on March 30, 2022, under section 337 of the Tariff Act of 1930, as amended, on behalf of Medytox Inc. of the Republic of Korea. The complaint alleges violations of section 337 based upon the importation into the United States, the sale for importation, and the sale within the United States after importation of certain botulinum toxin products and processes for manufacturing or relating to same by reason of theft and conversion and misappropriation of trade secrets, the threat or effect of which is to destroy or substantially injure an industry in the United States.

The complainant requests that the Commission institute an investigation and, after the investigation, issue a limited exclusion order and cease and desist orders.

ADDRESSES: The complaint, except for any confidential information contained therein, may be viewed on the Commission's electronic docket (EDIS) at https://edis.usitc.gov. For help accessing EDIS, please email EDIS3Help@usitc.gov. Hearing impaired individuals are advised that information on this matter can be obtained by contacting the Commission's TDD terminal on (202) 205-1810. Persons with mobility impairments who will need special assistance in gaining access to the Commission should contact the Office of the Secretary at (202) 205-2000. General information concerning the Commission may also be obtained by accessing its internet server at https://www.usitc.gov.

FOR FURTHER INFORMATION CONTACT: Pathenia M. Proctor, the Office of Unfair Import Investigations, U.S. International Trade Commission, telephone (202) 205-2560.

SUPPLEMENTARY INFORMATION:

AUTHORITY: The authority for institution of this investigation is contained in section 337 of the Tariff Act of 1930, as amended, 19 U.S.C. 1337, and in section 210.10 of the Commission's Rules of Practice and Procedure, 19 C.F.R. 210.10 (2021).

SCOPE OF INVESTIGATION: Having considered the complaint, the U.S. International Trade Commission, on April 29, 2022, **ORDERED THAT** –

- (1) Pursuant to subsection (b) of section 337 of the Tariff Act of 1930, as amended, an investigation be instituted to determine whether there is a violation of subsection (a)(1)(A) of section 337 in the importation into the United States, the sale for importation, or the sale within the United States after importation of certain products identified in paragraph (2) by reason of theft and conversion and misappropriation of trade secrets, the threat or effect of which is to destroy or substantially injure an industry in the United States;
- (2) Pursuant to section 210.10(b)(1) of the Commission's Rules of Practice and Procedure, 19 C.F.R. 210.10(b)(1), the plain language description of the accused products or category of accused products, which defines the scope of the investigation, is "botulinum toxin drug products";
- (3) For the purpose of the investigation so instituted, the following are hereby named as parties upon which this notice of investigation shall be served:
 - (a) The complainant is/are:

Medytox Inc.
78, Gangni 1-gil,
Ochang-eup, Cheongwon-gu,
Cheongju-si, Chungcheongbuk-do,
Republic of Korea

(b) The respondents are the following entities alleged to be in violation of section 337, and are the parties upon which the complaint is to be served:

Hugel, Inc. 7, Samseong-ro 133-gil Gangnam-gu, Seoul Republic of Korea

Hugel America, Inc. 9070 Irvine Center Drive, Suite 135 Irvine, California 92618 Croma Pharma GmbH Cromazeile 2 2100 Leobendorf Austria

- (c) The Office of Unfair Import Investigations, U.S. International Trade Commission, 500 E Street, S.W., Suite 401, Washington, D.C. 20436; and
- (4) For the investigation so instituted, the Chief Administrative Law Judge, U.S. International Trade Commission, shall designate the presiding Administrative Law Judge.

Responses to the complaint and the notice of investigation must be submitted by the named respondents in accordance with section 210.13 of the Commission's Rules of Practice and Procedure, 19 C.F.R. 210.13. Pursuant to 19 C.F.R. 201.16(e) and 210.13(a), as amended in 85 Fed. Reg. 15798 (March 19, 2020), such responses will be considered by the Commission if received not later than 20 days after the date of service by the complainant of the complaint and the notice of investigation. Extensions of time for submitting responses to the complaint and the notice of investigation will not be granted unless good cause therefor is shown.

Failure of a respondent to file a timely response to each allegation in the complaint and in this notice may be deemed to constitute a waiver of the right to appear and contest the allegations of the complaint and this notice, and to authorize the administrative law judge and the Commission, without further notice to the respondent, to find the facts to be as alleged in the complaint and this notice and to enter an initial determination and a final determination containing such findings, and may result in the issuance of an exclusion order or a cease and desist order or both directed against the respondent.

By order of the Commission.

Lisa R. Barton

Secretary to the Commission

Issued: May 2, 2022

UNITED STATES INTERNATIONAL TRADE COMMISSION Washington, D.C.

In the Matter of

CERTAIN BOTULINUM TOXIN PRODUCTS AND PROCESSES FOR MANUFACTURING OR RELATING TO SAME

Inv. No. 337-TA-1313

DESIGNATION OF OUII ATTORNEY FOR SERVICE OF PROCESS

The Office of Unfair Import Investigations (OUII) hereby designates Megan Wantland, Esq. (202) 205-3196, Megan.Wantland@usitc.gov, as OUII's lead attorney for service of process. It is requested that all documents served by the Commission or filed by the parties in the above-referenced investigation be served upon this attorney.

Respectfully submitted,

Margaret Macdonald, Director

OFFICE OF UNFAIR IMPORT INVESTIGATIONS

U.S. International Trade Commission 500 E Street, S.W., Suite 401 Washington, D.C. 20436 (202) 205-2560 (202) 205-2158 (facsimile)

CERTAIN BOTULINUM TOXIN PRODUCTS AND PROCESSES FOR MANUFACTURING OR RELATING TO SAME

PUBLIC CERTIFICATE OF SERVICE

I, Lisa R. Barton, hereby certify that the attached **INSTITUTION OF INVESTIGATION** has been served via EDIS upon the Commission Investigative Attorney, **Megan Wantland, Esq.**, and the following parties as indicated, on **May 2, 2022**.

Lisa R. Barton, Secretary U.S. International Trade Commission 500 E Street, SW, Room 112 Washington, DC 20436

Complainant: Medytox Inc. ☐ Via Hand Delivery 78, Gangni 1-gil, ☐ Via Express Delivery Ochang-eup, Cheongwon-gu, ☐ Via First Class Mail Cheongiu-si, Chungcheongbuk-do, ☑ Other: Email Notification Korea of Availability for Download On Behalf of Complainant: David Bilsker, Esq. ☐ Via Hand Delivery QUINN EMANUEL URQUHART & SULLIVAN LLP ☐ Via Express Delivery 50 California Street, 22nd Floor ☐ Via First Class Mail San Francisco, CA 94111 ☑ Other: Email Notification Email: davidbilsker@quinnemanuel.com of Availability for Download **Respondents:** Hugel, Inc. ☐ Via Hand Delivery 7, Samseong-ro 133-gil ☐ Via Express Delivery Gangnam-gu, Seoul ☐ Via First Class Mail Republic of Korea ☑ Other: Email Notification of Availability for Download

Inv. No. 337-TA-1313

CERTAIN BOTULINUM TOXIN PRODUCTS AND PROCESSES FOR MANUFACTURING OR RELATING TO SAME

Certificate of Service – Page 2	
Hugel America, Inc. 9070 Irvine Center Drive, Suite 135 Irvine, CA 92618	 □ Via Hand Delivery □ Via Express Delivery □ Via First Class Mail ⋈ Other: Email Notification of Availability for Download
Croma Pharma GmbH Cromazeile 2 2100 Leobendorf Austria	 □ Via Hand Delivery □ Via Express Delivery □ Via First Class Mail ⋈ Other: Email Notification of Availability for Download
Embassy:	
Embassy of Korea 2450 Massachusetts Avenue NW Washington, DC 20008	 □ Via Hand Delivery □ Via Express Delivery □ Via First Class Mail ⊠ Other: Service to Be Completed by Complainant
Embassy of Austria 3524 International Court, NW Washington, DC 20008	 □ Via Hand Delivery □ Via Express Delivery □ Via First Class Mail ⋈ Other: Service to Be Completed by Complainant
Government Agencies:	
Lynda Marshall International Section, Antitrust Division U.S. Department of Justice 450 5th Street NW, Room 11000 Washington, DC 20530	 □ Via Hand Delivery □ Via Express Delivery □ Via First Class Mail ⋈ Other: Email Notification of Availability for Download

Inv. No. 337-TA-1313

CERTAIN BOTULINUM TOXIN PRODUCTS AND PROCESSES FOR MANUFACTURING OR RELATING TO SAME

Certificate of Service – Page 3	
Dax Terrill, Chief Exclusion Order Enforcement Branch U.S. Customs and Border Protection Regulations and Rulings 90 K Street NE – 10th Floor Washington, DC 20229	 □ Via Hand Delivery □ Via Express Delivery □ Via First Class Mail ⊠ Other: Email Notification of Availability for Download
Elizabeth Kraus, Deputy Director International Antitrust, Office of International Affairs Federal Trade Commission 600 Pennsylvania Avenue, Room 498 Washington, DC 20580	 □ Via Hand Delivery □ Via Express Delivery □ Via First Class Mail ⋈ Other: Email Notification of Availability for Download
Dale D. Berkley, Ph.D., J.D. Office of the General Counsel, PHD, NIH Branch National Institutes of Health 31 Center Drive Bldg. 31, Rm. 47 Bethesda, MD 20892	 □ Via Hand Delivery □ Via Express Delivery □ Via First Class Mail ⊠ Other: Email Notification of Availability for Download



WASHINGTON, DC 20436

May 2, 2022

Alex Lasher Quinn Emanuel Urquhart & Sullivan, LLP 1300 I Street, NW Suite 900 Washington, DC 20005

Re: Certain Botulinum Toxin Products and Processes for Manufacturing or Relating to Same; Inv. No. 337-TA-1313

Dear Alex Lasher:

This letter is to advise you that the U.S. International Trade Commission has instituted an investigation pursuant to section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). A copy of the Commission's notice of investigation is enclosed. Also enclosed is the Section 337 Mediation Program brochure. After reviewing the brochure, please sign and return the enclosed certification of receipt.

Sincerely,

Lisa R. Barton Secretary

- 1. Notice of Investigation
- 2. Publication Regarding the Section 337 Mediation Program
- 3. Certification of Receipt of Mediation Materials



WASHINGTON, DC 20436

May 2, 2022

Medytox Inc. 78, Gangni 1-gil, Ochang-eup, Cheongwon-gu, Cheongju-si, Chungcheongbuk-do, South Korea

Re: Certain Botulinum Toxin Products and Processes for Manufacturing or Relating to Same; Inv. No. 337-TA-1313

Dear Sir/Madam:

This letter is to advise you that the U.S. International Trade Commission has instituted an investigation pursuant to section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). A copy of the Commission's notice of investigation is enclosed. Also enclosed is the Section 337 Mediation Program brochure. After reviewing the brochure, please sign and return the enclosed certification of receipt.

Sincerely,

Lisa R. Barton Secretary

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- 3. Certification of Receipt of Mediation Materials



WASHINGTON, DC 20436

May 2, 2022

Hugel, Inc. 7, Samseong-ro 133-gil Gangnam-gu Seoul, South Korea

Re: Certain Botulinum Toxin Products and Processes for Manufacturing or Relating to Same; Inv. No. 337-TA-1313

Dear Sir/Madam:

This letter is to advise you that the United States International Trade Commission has instituted an investigation pursuant to Section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). **Hugel, Inc.** is a named respondent in this investigation.

We are hereby serving you with a copy of the Complaint and the Notice of Investigation issued in this matter. Enclosed is a copy of the Code of Federal Regulations. Also enclosed is a the Section 337 Mediation Program brochure. After reviewing this brochure, please sign and return the enclosed certification of receipt.

Please note that the Notice requires a response to the allegations in the Complaint and the response must be received not later than twenty (20) days after the date of service of the Complaint. Failure to file a response may result in inferences being drawn against your interests.

Sincerely,

Lisa R. Barton Secretary

- 1. Complaint
- 2. Notice of Investigation
- 3. Code of Federal Regulation
- 4. Publication Regarding the Section 337 Mediation Program
- 5. Certification of Receipt of Mediation Materials



WASHINGTON, DC 20436

May 2, 2022

Hugel America, Inc. 9070 Irvine Center Drive Suite 135 Irvine, CA 92618

Re: Certain Botulinum Toxin Products and Processes for Manufacturing or Relating to Same; Inv. No. 337-TA-1313

Dear Sir/Madam:

This letter is to advise you that the United States International Trade Commission has instituted an investigation pursuant to Section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). **Hugel America, Inc.** is a named respondent in this investigation.

We are hereby serving you with a copy of the Complaint and the Notice of Investigation issued in this matter. Enclosed is a copy of the Code of Federal Regulations. Also enclosed is a the Section 337 Mediation Program brochure. After reviewing this brochure, please sign and return the enclosed certification of receipt.

Please note that the Notice requires a response to the allegations in the Complaint and the response must be received not later than twenty (20) days after the date of service of the Complaint. Failure to file a response may result in inferences being drawn against your interests.

Sincerely,

Lisa R. Barton Secretary

- 1. Complaint
- 2. Notice of Investigation
- 3. Code of Federal Regulation
- 4. Publication Regarding the Section 337 Mediation Program
- 5. Certification of Receipt of Mediation Materials



WASHINGTON, DC 20436

May 2, 2022

Croma Pharma GmbH Cromazeile 2 2100 Leobendorf, Austria

Re: Certain Botulinum Toxin Products and Processes for Manufacturing or Relating to Same; Inv. No. 337-TA-1313

Dear Sir/Madam:

This letter is to advise you that the United States International Trade Commission has instituted an investigation pursuant to Section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). **Croma Pharma GmbH** is a named respondent in this investigation.

We are hereby serving you with a copy of the Complaint and the Notice of Investigation issued in this matter. Enclosed is a copy of the Code of Federal Regulations. Also enclosed is a the Section 337 Mediation Program brochure. After reviewing this brochure, please sign and return the enclosed certification of receipt.

Please note that the Notice requires a response to the allegations in the Complaint and the response must be received not later than twenty (20) days after the date of service of the Complaint. Failure to file a response may result in inferences being drawn against your interests.

Sincerely,

Lisa R. Barton Secretary

- 1. Complaint
- 2. Notice of Investigation
- 3. Code of Federal Regulation
- 4. Publication Regarding the Section 337 Mediation Program
- 5. Certification of Receipt of Mediation Materials



WASHINGTON, DC 20436

May 2, 2022

Embassy of the Republic of Korea 2450 Massachusetts Ave NW Washington DC 20008

Re: Certain Botulinum Toxin Products and Processes for Manufacturing or Relating to Same; Inv. No. 337-TA-1313

Dear Sir/Madam:

This letter is to advise you that the United States International Trade Commission has instituted an investigation pursuant to Section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). One or more of the parties named in the investigation is located in the **Republic of Korea.**

Pursuant to Commission Rule 210.11(a) (3), we are hereby serving you with a copy of the Complaint filed in this investigation together with a copy of the Commission's Notice of Investigation.

Sincerely,

Lisa R. Barton Secretary

- 1. Complaint
- 2. Notice of Investigation



WASHINGTON, DC 20436

May 2, 2022

Embassy of Austria 3524 International Court, NW Washington DC 20008

Re: Certain Botulinum Toxin Products and Processes for Manufacturing or Relating to Same; Inv. No. 337-TA-1313

Dear Sir/Madam:

This letter is to advise you that the United States International Trade Commission has instituted an investigation pursuant to Section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). One or more of the parties named in the investigation is located in **Austria**.

Pursuant to Commission Rule 210.11(a) (3), we are hereby serving you with a copy of the Complaint filed in this investigation together with a copy of the Commission's Notice of Investigation.

Sincerely,

Secretary

- 1. Complaint
- 2. Notice of Investigation



WASHINGTON, DC 20436

May 2, 2022

Lynda Marshall International Section Antitrust Division U.S. Dept of Justice 450 5th Street NW, Room 11000 Washington, DC 20530

Re: Certain Botulinum Toxin Products and Processes for Manufacturing or Relating to Same; Inv. No. 337-TA-1313

Dear Lynda Marshall:

This letter is to advise you that the United States International Trade Commission has instituted an investigation pursuant to Section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). A copy of the Notice of Investigation is enclosed. Non-confidential copies of the Complaint and any supplements filed in the investigation will be provided upon request. Requests should be directed to Docket Services at (202) 205-1802.

If you have any questions concerning this investigation, please contact the Commission Investigative Attorney whose name and telephone number appear in the enclosed Notice.

Sincerely,

Lisa R. Barton Secretary

Enclosure:



WASHINGTON, DC 20436

May 2, 2022

Dax Terrill, Chief Exclusion Order Enforcement Branch U.S. Customs and Border Protection Regulations and Rulings 90 K Street NE, 10th Floor Washington, DC 20229-1177

Re: Certain Botulinum Toxin Products and Processes for Manufacturing or Relating to Same; Inv. No. 337-TA-1313

Dear Dax Terrill:

This letter is to advise you that the United States International Trade Commission has instituted an investigation pursuant to Section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). A copy of the Notice of Investigation is enclosed. Non-confidential copies of the Complaint and any supplements filed in the investigation will be provided upon request. Requests should be directed to Docket Services at (202) 205-1802.

If you have any questions concerning this investigation, please contact the Commission Investigative Attorney whose name and telephone number appear in the enclosed Notice.

Sincerely,

Lisa R. Barton Secretary

Enclosure:



WASHINGTON, DC 20436

May 2, 2022

Elizabeth Kraus, Deputy Director International Antitrust, Office of International Affairs Federal Trade Commission 600 Pennsylvania Avenue, Room 498 Washington, DC 20580

Re: Certain Botulinum Toxin Products and Processes for Manufacturing or Relating to Same; Inv. No. 337-TA-1313

Dear Elizabeth Kraus:

This letter is to advise you that the United States International Trade Commission has instituted an investigation pursuant to Section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). A copy of the Notice of Investigation is enclosed. Non-confidential copies of the Complaint and any supplements filed in the investigation will be provided upon request. Requests should be directed to Docket Services at (202) 205-1802.

If you have any questions concerning this investigation, please contact the Commission Investigative Attorney whose name and telephone number appear in the enclosed Notice.

Sincerely,

Lisa R. Barton Secretary

Enclosure:



WASHINGTON, DC 20436

May 2, 2022

Dale D. Berkley, Ph.D., J.D.
Office of the General Counsel, PHD, NIH Branch
National Institutes of Health
31 Center Drive
Building 31, Room 47
Bethesda, MD 20892

Re: Certain Botulinum Toxin Products and Processes for Manufacturing or Relating to Same; Inv. No. 337-TA-1313

Dear Dale Berkley:

This letter is to advise you that the United States International Trade Commission has instituted an investigation pursuant to Section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). A copy of the Notice of Investigation is enclosed. Non-confidential copies of the Complaint and any supplements filed in the investigation will be provided upon request. Requests should be directed to Docket Services at (202) 205-1802.

If you have any questions concerning this investigation, please contact the Commission Investigative Attorney whose name and telephone number appear in the enclosed Notice.

Sincerely,

Lisa R. Barton Secretary

Enclosure: