## UNITED STATES INTERNATIONAL TRADE COMMISSION Washington, D.C.

In the Matter of

CERTAIN COMPUTER NETWORK SECURITY EQUIPMENT AND SYSTEMS, RELATED SOFTWARE, COMPONENTS THEREOF, AND PRODUCTS CONTAINING SAME

Inv. No. 337-TA-1314

#### NOTICE OF INSTITUTION OF INVESTIGATION

Institution of Investigation Pursuant to 19 U.S.C. 1337

**AGENCY:** U.S. International Trade Commission

**ACTION**: Notice

SUMMARY: Notice is hereby given that a complaint was filed with the U.S. International Trade Commission on April 19, 2022, under section 337 of the Tariff Act of 1930, as amended, on behalf of Centripetal Networks, Inc. of Reston, Virginia. A supplement to the complaint was filed on April 29, 2022. The complaint alleges violations of section 337 based upon the importation into the United States, the sale for importation, and the sale within the United States after importation of certain computer network security equipment and systems, related software, components thereof, and products containing same by reason of the infringement of certain claims of U.S. Patent No. 9,264,370 ("the '370 patent"); U.S. Patent No. 10,193,917 ("the '917 patent"); and U.S. Patent No. 10,284,526 ("the '526 patent"). The complaint further alleges that an industry in the United States exists as required by the applicable Federal Statute.

The complainant requests that the Commission institute an investigation and, after the investigation, issue a limited exclusion order and a cease and desist order.

**ADDRESSES**: The complaint, except for any confidential information contained therein, may be viewed on the Commission's electronic docket (EDIS) at https://edis.usitc.gov. For help accessing EDIS, please email <a href="EDIS3Help@usitc.gov">EDIS3Help@usitc.gov</a>. Hearing impaired individuals are advised that information on this matter can be obtained by contacting the Commission's TDD terminal on (202) 205-1810. Persons with mobility impairments who will need special assistance in gaining access to the Commission should contact the Office of the Secretary at (202) 205-2000. General information concerning the Commission may also be obtained by accessing its internet server at <a href="https://www.usitc.gov">https://www.usitc.gov</a>.

**FOR FURTHER INFORMATION CONTACT**: Pathenia M. Proctor, The Office of Unfair Import Investigations, U.S. International Trade Commission, telephone (202) 205-2560.

### **SUPPLEMENTARY INFORMATION:**

**AUTHORITY**: The authority for institution of this investigation is contained in section 337 of the Tariff Act of 1930, as amended, 19 U.S.C. 1337, and in section 210.10 of the Commission's Rules of Practice and Procedure, 19 C.F.R. 210.10 (2021).

**SCOPE OF INVESTIGATION**: Having considered the complaint, the U.S. International Trade Commission, on May 18, 2022, **ORDERED THAT** –

- (1) Pursuant to subsection (b) of section 337 of the Tariff Act of 1930, as amended, an investigation be instituted to determine whether there is a violation of subsection (a)(1)(B) of section 337 in the importation into the United States, the sale for importation, or the sale within the United States after importation of certain products identified in paragraph (2) by reason of infringement of one or more of claims 22-27, 42-48, and 63 of the '370 patent; claims 1, 5, 11, 15, and 20 of the '917 patent; and claims 1-3, 6, 11-13, and 16 of the '526 patent, and whether an industry in the United States exists as required by subsection (a)(2) of section 337;
- (2) Pursuant to section 210.10(b)(1) of the Commission's Rules of Practice and Procedure, 19 C.F.R. 210.10(b)(1), the plain language description of the accused products or category of accused products, which defines the scope of the investigation, is "network traffic monitoring and security enforcement computer equipment, as well as related network analysis software components thereof, and products containing the same";
- (3) For the purpose of the investigation so instituted, the following are hereby named as parties upon which this notice of investigation shall be served:
  - (a) The complainant is:

Centripetal Networks, Inc. 1875 Explorer Street, Suite 900 Reston, VA 20190

(b) The respondent is the following entity alleged to be in violation of section 337, and is the party upon which the complaint is to be served:

Keysight Technologies, Inc. 1400 Fountaingrove Parkway Santa Rosa, CA 95403-1738

- (c) The Office of Unfair Import Investigations, U.S. International Trade Commission, 500 E Street, S.W., Suite 401, Washington, D.C. 20436; and
- (4) For the investigation so instituted, the Chief Administrative Law Judge, U.S. International Trade Commission, shall designate the presiding Administrative Law Judge.

Responses to the complaint and the notice of investigation must be submitted by the named respondent in accordance with section 210.13 of the Commission's Rules of Practice and Procedure, 19 C.F.R. 210.13. Pursuant to 19 C.F.R. 201.16(e) and 210.13(a), as amended in 85 Fed. Reg. 15798 (March 19, 2020), such responses will be considered by the Commission if received not later than 20 days after the date of service by the complainant of the complaint and the notice of investigation. Extensions of time for submitting responses to the complaint and the notice of investigation will not be granted unless good cause therefor is shown.

Failure of the respondent to file a timely response to each allegation in the complaint and in this notice may be deemed to constitute a waiver of the right to appear and contest the allegations of the complaint and this notice, and to authorize the administrative law judge and the Commission, without further notice to the respondent, to find the facts to be as alleged in the complaint and this notice and to enter an initial determination and a final determination containing such findings, and may result in the issuance of an exclusion order or a cease and desist order or both directed against the respondent.

By order of the Commission.

Lisa R. Barton

Secretary to the Commission

Issued: May 18, 2022

# UNITED STATES INTERNATIONAL TRADE COMMISSION Washington, D.C.

In the Matter of

CERTAIN COMPUTER NETWORK SECURITY EQUIPMENT AND SYSTEMS, RELATED SOFTWARE, COMPONENTS THEREOF, AND PRODUCTS CONTAINING SAME

**Investigation No. 337-TA-1314** 

## DESIGNATION OF OUII ATTORNEY FOR SERVICE OF PROCESS

The Office of Unfair Import Investigations ("OUII") hereby designates Peter Guarnieri, Esq., (202) 708-1525, Peter.Guarnieri@usitc.gov, as OUII's lead attorney for service of process. It is requested that all documents served by the Commission or filed by the parties in the above-referenced investigation be served upon this attorney.

Respectfully submitted,

Margaret Macdonald, Director

OFFICE OF UNFAIR IMPORT INVESTIGATIONS

U.S. International Trade Commission 500 E Street, S.W., Suite 401 Washington, D.C. 20436 (202) 205-2560 (202) 205-2158 (facsimile)

CERTAIN COMPUTER NETWORK SECURITY EQUIPMENT AND SYSTEMS, RELATED SOFTWARE, COMPONENTS THEREOF, AND PRODUCTS CONTAINING SAME

## **PUBLIC CERTIFICATE OF SERVICE**

I, Lisa R. Barton, hereby certify that the attached **INSTITUTION OF INVESTIGATION** has been served via EDIS upon the Commission Investigative Attorney, **Peter Guarnieri**, and the following parties as indicated, on **May 18, 2022**.

Lisa R. Barton, Secretary U.S. International Trade Commission 500 E Street, SW, Room 112 Washington, DC 20436

## **Complainant:** Centripetal Networks, Inc. ☐ Via Hand Delivery 1875 Explorer Street, Suite 900 ☐ Via Express Delivery Reston, VA 20190 ☐ Via First Class Mail ☑ Other: Email Notification of Availability for Download On Behalf of Complainant: Barbara A. Murphy, Esq. ☐ Via Hand Delivery FOSTER, MURPHY, ALTMAN & ALTMAN & NICKEL, PC ☐ Via Express Delivery 1150 18<sup>TH</sup> Street NW, Suite 775 ☐ Via First Class Mail Washington, DC 20036 ☑ Other: Email Notification Email: bmurphy@fostermurphy.com of Availability for Download **Respondent:** Keysight Technologies, Inc. ☐ Via Hand Delivery 1400 Fountaingrove Parkway ☐ Via Express Delivery Santa Rosa, CA 95403-1738 ☐ Via First Class Mail ☑ Other: Service to Be Completed by Complainant

## CERTAIN COMPUTER NETWORK SECURITY EQUIPMENT AND SYSTEMS, RELATED SOFTWARE, COMPONENTS THEREOF, AND PRODUCTS CONTAINING SAME

Certificate of Service – Page 2

## **Government Agencies:**

Lynda Marshall International Section, Antitrust Division U.S. Department of Justice 450 5th Street NW, Room 11000 Washington, DC 20530	<ul> <li>□ Via Hand Delivery</li> <li>□ Via Express Delivery</li> <li>□ Via First Class Mail</li> <li>⊠ Other: Email Notification of Availability for Download</li> </ul>
Dax Terrill, Chief Exclusion Order Enforcement Branch U.S. Customs and Border Protection Regulations and Rulings 90 K Street NE – 10th Floor Washington, DC 20229	<ul> <li>□ Via Hand Delivery</li> <li>□ Via Express Delivery</li> <li>□ Via First Class Mail</li> <li>⋈ Other: Email Notification of Availability for Download</li> </ul>
Elizabeth Kraus, Deputy Director International Antitrust, Office of International Affairs Federal Trade Commission 600 Pennsylvania Avenue, Room 498 Washington, DC 20580	<ul> <li>□ Via Hand Delivery</li> <li>□ Via Express Delivery</li> <li>□ Via First Class Mail</li> <li>⊠ Other: Email Notification of Availability for Download</li> </ul>
Dale D. Berkley, Ph.D., J.D. Office of the General Counsel, PHD, NIH Branch National Institutes of Health 31 Center Drive Bldg. 31, Rm. 47 Bethesda, MD 20892	<ul> <li>□ Via Hand Delivery</li> <li>□ Via Express Delivery</li> <li>□ Via First Class Mail</li> <li>⋈ Other: Email Notification of Availability for Download</li> </ul>



## WASHINGTON, DC 20436

May 18, 2022

Barbara A. Murphy Foster, Murphy, Altman & Nickel, PC 1150 18th Street, NW, Suite 775 Washington, DC 20036

Re: Certain Computer Network Security Equipment and Systems, Related Software, Components Thereof, and Products Containing Same Inv. No. 337-TA-1314

Dear Barbara A. Murphy:

This letter is to advise you that the U.S. International Trade Commission has instituted an investigation pursuant to section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). A copy of the Commission's notice of investigation is enclosed. Also enclosed is the Section 337 Mediation Program brochure. After reviewing the brochure, please sign and return the enclosed certification of receipt.

Sincerely,

Lisa R. Barton Secretary

#### **Enclosures:**

- 1. Notice of Investigation
- 2. Publication Regarding the Section 337 Mediation Program
- 3. Certification of Receipt of Mediation Materials



## WASHINGTON, DC 20436

May 18, 2022

Centripetal Networks, Inc. 1875 Explorer Street Suite 900 Reston, VA 20190

Re: Certain Computer Network Security Equipment and Systems, Related Software, Components Thereof, and Products Containing Same Inv. No. 337-TA-1314

#### Dear Sir/Madam:

This letter is to advise you that the U.S. International Trade Commission has instituted an investigation pursuant to section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). A copy of the Commission's notice of investigation is enclosed. Also enclosed is the Section 337 Mediation Program brochure. After reviewing the brochure, please sign and return the enclosed certification of receipt.

Sincerely,

Lisa R. Barton Secretary

#### **Enclosures:**

- 1. Notice of Investigation
- 2. Publication Regarding the Section 337 Mediation Program
- 3. Certification of Receipt of Mediation Materials



#### WASHINGTON, DC 20436

May 18, 2022

Keysight Technologies, Inc. 1400 Fountaingrove Parkway Santa Rosa, CA 95403

Re: Certain Computer Network Security Equipment and Systems, Related Software, Components Thereof, and Products Containing Same; Investigation No. 337-TA-1314

#### Dear Sir/Madam:

This letter is to advise you that the United States International Trade Commission has instituted an investigation pursuant to Section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). **Keysight Technologies, Inc.** is a named respondent in this investigation.

We are hereby serving you with a copy of the Complaint and the Notice of Investigation issued in this matter. Enclosed is a copy of the Code of Federal Regulations. Also enclosed is a the Section 337 Mediation Program brochure. After reviewing this brochure, please sign and return the enclosed certification of receipt.

Please note that the Notice requires a response to the allegations in the Complaint and the response must be received not later than twenty (20) days after the date of service of the Complaint. Failure to file a response may result in inferences being drawn against your interests.

Sincerely,

Lisa R. Barton Secretary

#### Enclosures:

- 1. Complaint
- 2. Notice of Investigation
- 3. Code of Federal Regulation
- 4. Publication Regarding the Section 337 Mediation Program
- 5. Certification of Receipt of Mediation Materials



## WASHINGTON, DC 20436

May 18, 2022

Lynda Marshall International Section Antitrust Division U.S. Dept of Justice 450 5th Street NW, Room 11000 Washington, DC 20530

Re: Certain Computer Network Security Equipment and Systems, Related Software, Components Thereof, and Products Containing Same Inv. No. 337-TA-1314

Dear Lynda Marshall:

This letter is to advise you that the United States International Trade Commission has instituted an investigation pursuant to Section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). A copy of the Notice of Investigation is enclosed. Non-confidential copies of the Complaint and any supplements filed in the investigation will be provided upon request. Requests should be directed to Docket Services at (202) 205-1802.

If you have any questions concerning this investigation, please contact the Commission Investigative Attorney whose name and telephone number appear in the enclosed Notice.

Sincerely,

Lisa R. Barton Secretary

Enclosure:

1. Notice of Investigation



## WASHINGTON, DC 20436

May 18, 2022

Dax Terrill, Chief Exclusion Order Enforcement Branch U.S. Customs and Border Protection Regulations and Rulings 90 K Street NE, 10th Floor Washington, DC 20229-1177

Re: Certain Computer Network Security Equipment and Systems, Related Software, Components Thereof, and Products Containing Same Inv. No. 337-TA-1314

Dear Dax Terrill:

This letter is to advise you that the United States International Trade Commission has instituted an investigation pursuant to Section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). A copy of the Notice of Investigation is enclosed. Non-confidential copies of the Complaint and any supplements filed in the investigation will be provided upon request. Requests should be directed to Docket Services at (202) 205-1802.

If you have any questions concerning this investigation, please contact the Commission Investigative Attorney whose name and telephone number appear in the enclosed Notice.

Sincerely,

Lisa R. Barton Secretary

Enclosure:

1. Notice of Investigation



#### WASHINGTON, DC 20436

May 18, 2022

Elizabeth Kraus, Deputy Director International Antitrust, Office of International Affairs Federal Trade Commission 600 Pennsylvania Avenue, Room 498 Washington, DC 20580

Re: Certain Computer Network Security Equipment and Systems, Related Software, Components Thereof, and Products Containing Same Inv. No. 337-TA-1314

Dear Elizabeth Kraus:

This letter is to advise you that the United States International Trade Commission has instituted an investigation pursuant to Section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). A copy of the Notice of Investigation is enclosed. Non-confidential copies of the Complaint and any supplements filed in the investigation will be provided upon request. Requests should be directed to Docket Services at (202) 205-1802.

If you have any questions concerning this investigation, please contact the Commission Investigative Attorney whose name and telephone number appear in the enclosed Notice.

Sincerely,

Lisa R. Barton Secretary

Enclosure: 1.

Notice of Investigation



#### WASHINGTON, DC 20436

May 18, 2022

Dale D. Berkley, Ph.D., J.D.
Office of the General Counsel, PHD, NIH Branch
National Institutes of Health
31 Center Drive
Building 31, Room 47
Bethesda, MD 20892

Re: Certain Computer Network Security Equipment and Systems, Related Software, Components Thereof, and Products Containing Same Inv. No. 337-TA-1314

Dear Dale Berkley:

This letter is to advise you that the United States International Trade Commission has instituted an investigation pursuant to Section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). A copy of the Notice of Investigation is enclosed. Non-confidential copies of the Complaint and any supplements filed in the investigation will be provided upon request. Requests should be directed to Docket Services at (202) 205-1802.

If you have any questions concerning this investigation, please contact the Commission Investigative Attorney whose name and telephone number appear in the enclosed Notice.

Sincerely,

Lisa R. Barton Secretary

Enclosure:

1. Notice of Investigation